



STAMPING OFFICE OF TEXAS

Form No. 1.1

# Texas Diligent Effort

Form may serve as evidence that diligent effort was performed in accordance with [Texas Insurance Code §981.004](#).

## BROKER INFORMATION

Retail Broker: \_\_\_\_\_

Retail Broker License No.: \_\_\_\_\_

Surplus Lines Broker: \_\_\_\_\_

Surplus Lines Broker License No.: \_\_\_\_\_

Broker Type:      Wholesale Broker      Managing General Agent

## POLICY INFORMATION

Policy/Binder No.: \_\_\_\_\_

Insured Name: \_\_\_\_\_

Coverage Type:      Personal      Commercial

## CARRIER INFORMATION

Surplus Lines Carrier: \_\_\_\_\_

Declination(s): \_\_\_\_\_

Declination Reason:      Capacity Reached      Underwriting Reason      Other

Comment (if any): \_\_\_\_\_

## SURPLUS LINES PRINCIPAL BROKER ACKNOWLEDGEMENT

An attempt to place this business with the admitted market was performed.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

*Attach additional correspondence as evidence and file with SLTX and/or keep for your records in the event of audits, investigations, or lawsuits.*

*Texas does not have an export or white list for surplus lines coverage. Workers' compensation and private passenger auto are prohibited coverages in the Texas excess and surplus lines market.*

*\*Exempt commercial purchasers ([TIC 981.0031](#)) need not provide evidence of diligent effort.*

**Disclaimer:** *SLTX is not liable for determining if diligent effort has occurred, as determinations are made in Texas by a court of law.*